CE	R	T	F	IC	Α	TE	OF	MA	۱IL	ING
----	---	---	---	----	---	----	----	----	-----	-----

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

Sara Carter

Type or print name

Signature

November 25, 2003

Date

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

CARNEY ET AL.

APPLICATION NO:

FILED: November 25, 2003

FOR: MEDICAL DEVICES HAVING ANTIMICROBIAL COATINGS

**THEREON** 

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

This paper is being filed within three months of the filing date of the application.

Therefore, no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

In accordance with 37 C.F.R. §1.56, applicant wishes to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

Copies of these references are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Novartis Pharmaceuticals Corporation Patent and Trademark Dept. One Health Plaza East Hanover, NJ 07936-1080 (678) 415-4691

Date: November 25, 2003

Respectfully submitted,

Jian Zhou

Agent for Applicants Reg. No. 41,422.

FORM PTO-1449 (REV. 7-85) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO. CL/V-32783A APPLICATION NO.

APPLICANT
Carney et al.
FILING DATE

Group

			U.S. I	PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILII	NG DATE
	AA	5,213,801	5/25/93	Sakuma et al.	424	429	7/19	9/91
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
	АН							
	ΑI							
	AJ							
	AK							
	AL							
			FOREIG	N PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRAN YES	SLATION NO
	АМ	WO 02/40536	5/23/02	PCT				
	AN	WO 00/71175	11/30/00	PCT				
	AO	WO 80/02840	12/24/80	PCT .		,		
	AP	WO 92/15198	9/17/92	PCT				
	AQ	EP 0 611 782	5/28/97	EPO				
		OTHER DOC	CUMENTS (	(Including Author, Title, Date, Perl	tinent pages, E	itc.)		
	AR	Morency, Mota-Meira pathogens of bacteria	a, LaPointe, L al strains pro	ecroix, and Lovoie; "Comparducing a mutacin or a lantibio	rison of the A otic", 09/14/2	Activity Spect 2000, pp.322-	ra agai 331.	nst
	AS						*	
	АТ							
EXAMIN	ER			DATE CONSIDERED				

<sup>\*</sup>EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

FORM PTO-1449 (REV. 7-85) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO. CL/V-32783A APPLICATION NO.

APPLICANT
Carney et al.
FILING DATE

Group

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRAN YES	SLATION NO
	CA	EP 0 537 774	1/7/98	EPO				
···	СВ							
	СС							
	CD							
	CE							
	CF							
	CG							
	СН							
	CI							
	CJ							
	СК							
	CL							
•	СМ							
	CN							
	со						□.	
	СР							
	CQ							
	CR							
	cs							
	СТ							
	CU							
	CV							
	cw							
	СХ							
	CY							
	CZ							

\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.